



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.:

10/711,266

Application of:

Albert

Confirmation No.:

5265

Filed:

September 7, 2004

Group Art Unit:

2673

Examiner:

Shalwala, Bipin H.

Attorney Docket No.:

H-310DIV

Customer No.:

26245

Cambridge, Massachusetts April 12, 2005

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Sir:

The applicant is filing this Information Disclosure Statement to make of record US and foreign patents and applications, and non-patent references. The references it is desired to make of record are listed on the accompanying Forms.

All of the references filed herewith are in the English language so that, pursuant to 37 CFR 1.98(a)(3), no statement of the relevance of each reference is required.

The Examiner is respectfully asked to note that, for the convenience of both the Examiner and the undersigned attorney, certain prior art documents mentioned in the application have been replaced by their US equivalents or other convenient equivalents, as follows:

Albert Serial No. 10/711,266 Information Disclosure Statement of April 12, 2005 Page 2

Document not supplied	Alternative supplied
US2002/0019081	US6825068
US2002/0021270	US6816147
US2002/0106847	US6683333
US2002/0131147	US6866760
US2002/0145792	US6538801
WO 00/03291	US2002-0113770
WO 00/03349 and US2002/0053900	US6512354
WO 00/20921	US6262833
WO 00/20922	US6839158
WO 00/20923	US6376828
WO 00/26761	US2004/0119681
WO 00/36465	US6312304
WO 00/36666	US6506438
WO 00/38001	US6724519
WO 00/59625	US6377387
WO 01/02899	US6392786
WO 01/08241	US6842657
WO 01/08242	US6413790
WO 01/17029	US6545291
WO 01/17040	US6312971
WO 01/17041	US6498114
WO 97/04398	US6124851
WO 98/03896	US6120588
WO 98/19208	US5930026
WO 98/41898 and US2001/0045934	US6480182
WO 98/41899	US5961804
WO 99/10767	US6067185

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Document not supplied	Alternative supplied
WO 99/10768	US6177921
WO 99/10769	US6118426
WO 99/47970	US6445489
WO 99/53371 and US2002/0063677	US6518949
WO 99/53373	US6864875
WO 99/56171	US6130774
WO 99/59101	US6473072

In accordance with 37 CFR 1.98(a)(2) no copies of the listed U.S. patents and applications are being filed. Furthermore, only copies of References B9, C7, C9, C10, and C11 are being filed herewith since the remaining foreign patents and non-patent references are readily available to the Examiner in the file of the parent Application Serial No. 09/931,418 (now U.S. Patent No. 6,816,147).

Since this Information Disclosure Statement is being filed before the issue of the first Office Action in this case, no fee is due.

Respectfully submitted,

David J. Ch

David J. Cole

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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

10/711,266

Sep 7, 2004

Albert, Jonathan D.

Inder the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application Number

First Named Inventor

Filing Date

Art Unit

TRANSMITTAL

FORM

		Art Unit	2673	2673				
(to be used for all o	correspo	ondence after initial fil	ing)	Examiner Name	Shalwal	Shalwala, Bipin H.		
Total Number of Pages in This Submission 13			Attorney Docket Number	H-310D	H-310DIV			
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			ENC	LOSURES (Check all the	at apply)			· · · · · · · · · · · · · · · · · · ·
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Fee Amendme	Attach			Licensing-related Papers Petition			Appeals Appea	Communication to Board of and Interferences I Communication to TC
				Petition to Convert to a			• • •	Notice, Brief, Reply Brief)
	er Final	1		Provisional Application Power of Attomey, Revocation				etary Information
Affic	davits/d	declaration(s)		Change of Correspondence Ad	dress		Status	
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37 CF	FR 1.52	? or 1.53						
		SIGNA	TURE	OF APPLICANT, ATTORN	IEY, OR	AGEN	Τ	
Firm Name								
Signature		David J	- (le				
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Date April 12, 2005 Reg. No.				g. No.	29629			
		C	ERTIF	ICATE OF TRANSMISSION	W/MAILI	NG		
				mile transmitted to the USPTO o dressed to: Commissioner for Pat				
Signature		Danil	5	· Coli				
Typed or printed na	me	David J. Cole					Date	April 12, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Using as many sheets as necessary)

Sheet: 1 of: 9

Complete if Known					
Application Number	10/711,266				
Filing Date	September 7, 2004				
First Named Inventor	Albert				
Art Unit	2673				
Examiner Name	Shalwala, Bipin H.				
Attorney Docket No.	H-310DIV				

	U.S. Patent Documents						
Examiner nitials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear		
	A1	20050035941	02/17/2005	Albert et al.			
	A2	20040119681	06/24/2004	Albert et al.			
-	A3	20030020844	01/30/2003	Albert et al.			
	A4	20020130832	09/19/2002	Baucom et al.			
	A5	20020113770	08/22/2002	Jacobson et al.			
	A6	20020090980	07/11/2002	Wilcox et al.			
	A7	20020063661	05/30/2002	Comiskey et al.			
	A8	20020060321	05/23/2002	Kazlas et al.			
	A9	20010046081	11/29/2001	Hayashi et al.			
	A10	6866760	03/15/2005	Paolini, Jr. et al.			
	A11	6864875	03/08/2005	Drzaic et a.			
	A12	6842657	01/11/2005	Drzaic et al.			
	A13	6839158	01/04/2005	Albert et al.			
	A14	6825829	11/30/2004	Albert et al.			
	A15	6825068	11/30/2004	Denis et al.			
	A16	6816147	11/09/2004	Albert			
	A17	6727881	04/27/2004	Albert et al.			
	A18	6724519	04/20/2004	Comiskey et al.			
	A19	6710540	03/23/2004	Albert et al.			
	A20	6693620	02/17/2004	Herb et al.			
	A21	6683333	01/27/2004	Kazlas et al.			
	A22	6680725	01/20/2004	Jacobson			
	A23	6672921	01/06/2004	Liang et al.			
	A24	6664944	12/16/2003	Albert et al.			
	A25	6639578	10/28/2003	Comiskey et al.			
	A26	6545291	04/08/2003	Amundson et al.			
	A27	6538801	03/25/2003	Jacobson et al.			
	A28	6535197	03/18/2003	Comiskey et al.			
	A29	6531997	03/11/2003	Gates et al.			
	A30	6518949	02/11/2003	Drzaic			

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Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

^{*1}Applicant's unique citation designation number (optional). *2Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO	Con	nplete if Known
	Application Number	10/711,266
INFORMATION DISCLOSURE	Filing Date	September 7, 2004
	First Named Inventor	Albert
STATEMENT BY APPLICANT	Art Unit	2673
(Using as many sheets as necessary)	Examiner Name	Shalwala, Bipin H.
Sheet: 2 of 9	Attorney Docket No.	H-310DIV

U.S. Patent Documents						
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	
	A31	6515649	02/04/2003	Albert et al.		
	A32	6512354	01/28/2003	Jacobson et al.		
	A33	6506438	01/14/2003	Duthaler et al.		
	A34	6504524	01/07/2003	Gates et al.		
	A35	6498114	12/24/2002	Amundson et al.		
·	A36	6480182	11/12/2002	Turner et al.		
	A37	6473072	10/29/2002	Comiskey et al.		
	A38	6459418	10/01/2002	Comiskey et al.		
	A39	6445489	09/03/2002	Jacobson et al.		
· · · · · · · · · · · · · · · · · · ·	A40	6445374	09/03/2002	Albert et al.		
· 	A41	6422687	07/23/2002	Jacobson		
<u> </u>	A42	6413790	07/02/2002	Duthaler et al.		
	A43	6392786	05/21/2002	Albert		
·	A44	6392785	05/21/2002	Albert et al.		
	A45	6377387	04/23/2002	Duthaler et al.		
	A46	6376828	04/23/2002	Comiskey		
· · · · · · · · · · · · · · · · · · ·	A47	6330054	12/11/2001	Ikami		
	A48	6327072	12/04/2001	Comiskey et al.		
·····	A49	6323989	11/27/2001	Jacobson et al.	<u> </u>	
	A50	6312971	11/06/2001	Amundson et al.		
	A51	6312304	11/06/2001	Duthaler et al.		
	A52	6301038	10/09/2001	Fitzmaurice et al.		
·	A53	6300932	10/09/2001	Albert		
	A54	6278131	08/21/2001	Yamazaki et al.		
	A55	6271823	08/07/2001	Gordon , II et al.		
	A56	6262833	07/17/2001	Loxley et al.		
	A57	6262706	07/17/2001	Albert et al.	M	
	A58	6252564	06/26/2001	Albert et al.		
	A59	6249271	06/19/2001	Albert et al.		
	A60	6243058	06/05/2001	Mikkelsen et al.	· · · · · · · · · · · · · · · · · · ·	

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This collection of Information is required by 37 CER 4 07 and 4 09. The information is required by 37 CER 4 07 and 4 09. The information is attached.

Substitute for form 1449/PTO		Con	nplete if Known		
				Application Number	10/711,266
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	September 7, 2004		
		First Named Inventor	Albert		
		Art Unit	2673		
	(Using	as many sh	eets as necessary)	Examiner Name	Shalwala, Bipin H.
Sheet:	3	of	9	Attorney Docket No.	H-310DIV

	U.S. Patent Documents					
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	
	A61	6241921	06/05/2001	Jacobson et al.		
	A62	6232950	05/15/2001	Albert et al.		
	A63	6225971	05/01/2001	Gordon , II et al.		
	A64	6184856	02/06/2001	Gordon , II et al.		
	A65	6177921	01/23/2001	Comiskey et al.		
	A66	6172798	01/09/2001	Albert et al.		
	A67	6147791	11/14/2000	Sheridon		
	A68	6146716	11/14/2000	Narang		
	A69	6144361	11/07/2000	Gordon , II et al.		
	A70	6137467	10/24/2000	Sheridon et al.		
	A71	6130774	10/10/2000	Albert et al.		
	A72	6130773	10/10/2000	Jacobson et al.		
	A73	6128124	10/03/2000	Silverman		
	A74	6124851	09/26/2000	Jacobson		
	A75	6122094	09/19/2000	Silverman	***	
	A76	6120839	09/19/2000	Comiskey et al.		
	A77	6120588	09/19/2000	Jacobson		
	A78	6118426	09/12/2000	Albert et al.		
	A79	6110538	08/29/2000	Sheridon		
	A80	6105290	08/22/2000	Coates et al.		
	A81	6097531	08/01/2000	Sheridon		
	A82	6081285	06/27/2000	Wen et al.		
	A83	6067185	05/23/2000	Albert et al.		
	A84	6064410	05/16/2000	Wen et al.		
	A85	6057814	05/02/2000	Kalt		
	A86	6055091	04/25/2000	Sheridon et al.		
	A87	6054071	04/25/2000	Mikkelsen, Jr.		
	A88	6027958	02/22/2000	Vu et al.		
	A89	6017584	01/25/2000	Albert et al.		
	A90	5989629	11/23/99	Sacripante et al.		

Examiner		
Signature	Date Considered	

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Substitute for form 1449/PTO	Cor	nplete if Known
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INFORMATION DISCLOSURE	Filing Date	September 7, 2004
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STATEMENT BY APPLICAN	Art Unit	2673
(Using as many sheets as necessary)	Examiner Name	Shalwala, Bipin H.
Sheet: 4 of 9	Attorney Docket No.	H-310DIV

			U.S. Patent	Documents	
Examiner nitials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
	A91	5982346	11/9/99	Sheridon et al.	
, <u>' </u>	A92	5976428	11/2/99	Richley	
	A93	5961804	10/05/1999	Jacobson et al.	
	A94	5930026	07/27/1999	Jacobson et al.	
	A95	5922268	7/13/99	Sheridon	
	A96	5919409	7/6/99	Sheridon	
	A97	5917646	6/29/99	Sheridon	
	A98	5914805	6/22/99	Crowley	
	A99	5904790	5/18/99	Sheridon	
	A100	5900858	5/4/99	Richley	
	A101	5894367	4/13/99	Sheridon	
	A102 5892497	5892497	4/6/99	Robertson	
	A103	5891479	04/06/1999	Sheridon	
	A104	5872552	02/16/1999	Gordon , II et al.	
	A105	5866284	02/02/1999	Vincent	
	A106	5828432	10/27/98	Shashidhar et al.	
	A107	5825529	10/20/98	Crowley	
	A108	5815306	9/29/98	Sheridon et al.	
	A109	5808783	09/15/1998	Crowley	
	A110	5777782	07/07/1998	Sheridon	
	A111	5767826	06/16/1998	Sheridon et al.	
	A112	5760761	06/02/1998	Sheridon	
	A113	5754332	05/19/1998	Crowley	
	A114	5751268	05/12/1998	Sheridon	
	A115	5751257	05/12/1998	Sutherland	
	A116	5745094	04/28/1998	Gordon , II et al.	
	A117	5739801	04/14/1998	Sheridon	
	A118	5737115	04/07/1998	Mackinlay et al.	——————————————————————————————————————
	A119	5723204	3/3/98	Stefik	
	A120	5717515	02/10/1998	Sheridon	

Examiner	
Signature Date C	onsidered

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Substitute for	form 1449	I/PTO		Con	nplete if Known
		Application Number	10/711,266		
IN	FORM	JATION	DISCLOSURE	Filing Date	September 7, 2004
				First Named Inventor	Albert
31	STATEMENT BY APPLICANT		Art Unit	2673	
	(Usin	g as many she	eets as necessary)	Examiner Name	Shalwala, Bipin H.
Sheet:	5	of	9	Attorney Docket No.	H-310DIV

U.S. Patent Documents						
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	
	A121	5717514	02/10/1998	Sheridon		
	A122	5708525	01/13/1998	Sheridon	· · · · · · · · · · · · · · · · · · ·	
·	A123	5668576	09/16/1997	Ikura et al.	, 12. , , , , , , , , , , , , , , , , , , ,	
	A124	5650872	07/22/1997	Saxe et al.		
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	A126	5604027	02/18/1997	Sheridon		
	A127	5527589	6/18/96	lijima et al.	**************************************	
	A128	5508720	04/16/1996	DiSanto et al.		
	A129	5467107	11/14/1995	DiSanto et al.		
	A130	5412398	05/02/1995	DiSanto et al.		
	A131	5411398	05/02/1995	Nakanishi et al.		
	A132	5402145	3/28/95	DiSanto et al.		
	A133	5389945	02/14/1995	Sheridon		
	A134	5345251	9/6/94	DiSanto et al.		
	A135	5315312	05/24/1994	DiSanto et al.		
	A136	5302235	04/12/1994	DiSanto et al.		
	A137	5293528	03/08/1994	DiSanto et al.		
· · · · · · · · · · · · · · · · · · ·	A138	5276438	01/04/1994	DiSanto et al.	· · · · · · · · · · · · · · · · · · ·	
·	A139	5250938	10/5/93	DiSanto et al.		
	A140	5247290	09/21/1993	DiSanto et al.		
· · · · · · · · · · · · · · · · · · ·	A141	5233459	8/3/93	Bozler et al.		
	A142	5223823	6/29/93	DiSanto et al.		
·	A143	5223115	06/29/1993	DiSanto et al.		
	A144	5216416	06/01/1993	DiSanto et al.		
	A145	5187609	02/16/1993	DiSanto et al.		
	A146	5174882	12/29/1992	DiSanto et al.		
	A147	5105185	04/14/1992	Nakanowatari et al.		
	A148	5077157	12/31/1991	DiSanto et al.		
	A149	5066946	11/19/1991	DiSanto et al.		
	A150	5057363	10/15/1991	Nakanishi		

Examiner	
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Substitute for	form 1449/	PTO		Con	nplete if Known
			Application Number	10/711,266	
INI		IATION	DISCLOSHDE	Filing Date	September 7, 2004
INFORMATION DISCLOSURE		First Named Inventor	Albert		
\$7	TATEN	MENT B	SY APPLICANT	Art Unit	2673
	(Using	as many sh	eets as necessary)	Examiner Name	Shalwala, Bipin H.
Sheet:	6	of	9	Attorney Docket No.	H-310DIV

			U.S. Patent	Documents	
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
	A151	5041824	8/20/91	DiSanto et al.	
	A152	4948232	8/14/90	Lange	
	A153	4947157	08/07/1990	DiSanto et al.	
	A154	4864084	09/05/1989	Cardinale	
	A155	4833464	05/23/1989	DiSanto et al.	
	A156	4746917	05/24/1988	DiSanto et al.	
	A157	4742345	5/3/88	DiSanto et al.	
	A158	4648956	3/10/87	Marshall et al.	
	A159	4643528	02/17/1987	Bell, Jr.	
	A160	4522472	6/11/85	Liebert et al.	
	A161	4418346	11/29/1983	Batchelder	
	A162 4330788		5/18/82	Hinz et al.	
	A163	4143103	03/06/1979	Sheridon	
	A164	4126854	11/21/1978	Sheridon	
	A165	4104520	8/1/78	Lewis et al.	
	A166	4071430	1/31/78	Liebert	
	A167	4062009	12/06/1977	Raverdy et al.	
	A168	3990783	11/9/76	Kohashi	
	A169	3892568	07/01/1975	Ota	
	A170	3870517	03/11/1975	Ota et al.	
	A171	3792308	02/12/1974	Ota	
	A172	3767392	10/23/1973	Ota	
	A173	3756693	09/14/1973	Ota	
<u>-</u>	A174	3668106	06/06/1972	Ota	
	A175	3384488	05/21/1968	Tulagin et al.	
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Examiner	 	
Signature	Date Considered	

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SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449/PTO		Complete if Known			
				Application Number	10/711,266 September 7, 2004
	NEO	ORA A TIC	NI DISCLOSURE	Filing Date	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				First Named Inventor	Albert
				Art Unit	2673
(Using as many sheets as necessary)			sheets as necessary)	Examiner Name	Shalwala, Bipin H.
Sheet:	7	of:	9	Attomey Docket No.	H-310DIV

Foreign Patent Documents									
Examiner Initials*	Cite No.	Cour	Document Number htry Code - Number - Kind Code (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	T ⁻²		
	B1	EP	1 162 496 A1	12/12/2001	Miwa Science Laboratory Inc.				
	B2	EP	1 145 072B1	05/07/2003	E Ink Corporation				
	В3	EP	1 000 741A2	5/17/00	Seiko Epson				
	B4	GB	2 149 548A	6/12/85	Philp				
	B5	JP	2000-322006	11/24/00	Brother				
:	B6	JP	2000-321605	11/24/00	Brother				
	B7	JP	2000-162650	6/16/00	Dainippon				
	B8	JP	10-048673A	2/20/98	Fuji Xerox		Υ		
	B9	PCT	WO 01/27690	04/19/2001	University College Dublin				
	B10	PCT	WO 01/07961	02/01/2001	E Ink Corporation				
, <u>.</u>	B11	РСТ	WO 00/67327	11/09/2000	E Ink Corporation				
	B12	PCT	WO 00/67110	11/09/2000	E Ink Corporation				
	B13	PCT	WO 00/38000	06/29/2000	E Ink Corporation				
	B14	РСТ	WO 00/36560	06/22/2000	E Ink Corporation				
	B15	PCT	WO 00/05704	02/03/2000	E Ink Corporation				

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(Using as many sheets as necessary)			necessary)	Examiner Name	Shalwala, Bipin H.
Sheet:	8	of:	9	Attorney Docket No.	H-310DIV

		NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. *1	. Document Citation				
	C1	Amundson, K., et al., "Flexible, Active-Matrix Display Constructed Using a Microencapsulated Electrophoretic Material and an Organic-Semiconductor-Based Backplane", SID 01 Digest, 160 (June 2001)				
	C2	Chen, Y., et al., "A Conformable Electronic Ink Display using a Foil-Based a-Si TFT Array", SID 01 Digest, 157 (June 2001)				
	C3	Comiskey, B., et al., "An electrophoretic ink for all-printed reflective electronic displays", Nature, 394, 253 (1998)				
	C4	Comiskey, B., et al., "Electrophoretic Ink: A Printable Display Material", SID 97 Digest (1997), page 75				
	C5	Drzaic, P., et al., "A Printed and Rollable Bistable Electronic Display", SID 98 Digest (1998), page 1131				
	C6	Jacobson, J., et al., "The last book", IBM Systems J., 36, 457 (1997)				
	C 7	Jo, G-R, et al., "Toner Display Based on Particle Movements", Chem. Mater, 14, 664 (2002)				
	C8	Kazlas, P., et al., "12.1" SVGA Microencapsulated Electrophoretic Active Matrix Display for Information Applicances", SID 01 Digest, 152 (June 2001)				
	C9	Kitamura, T., et al., "Electrical toner movement for electronic paper-like display", Asia Display/IDW '01, page 1517, Paper HCS1-1 (2001)				
	C10	O'Regan, B. et al., "A Low Cost, High-efficiency Solar Cell Based on Dye-sensitized colloidal TiO2 Films", Nature, Volume 353, October 24, 1991, 773-740				

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STATEMENT BY APPLICAN (Using as many sheets as necessary)		necessary)	Examiner Name	Shalwala, Bipin H.	
Sheet:	9	of:	9	Attorney Docket No.	H-310DIV

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		NON-	PATENT LITERATURE DOCUMEN	TS	
Examiner Initials*	Cite No. *1		Document Citation		T-2
···					
		Yamaquchi, Y., et al.,	"Toner display using insulative parti	icles charged triboelectrically", Asia	
	C11	Display/IDW '01, page	e 1729, Paper AMD4-4 (2001)		igspace
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Examiner					

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